

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

Securities and Exchange Commission

CASE NO. C 07-03798 JW

Plaintiff(s),

v.

NOTICE OF NEED FOR ADR PHONE
CONFERENCE

Kenneth L. Schroeder,

Defendant(s).

Counsel report that they have met and conferred regarding ADR and that they:

- ✓ have not yet reached an agreement to an ADR process
request an Early Settlement Conference with a Magistrate Judge

Date of Case Management Conference 12/17/2007

The following counsel will participate in the ADR phone conference:

Name	Party Representing	Phone No.	E-Mail Address
Mark P. Fickes	Securities and Exchange Commission (Pl.)	415/705-8103	fickesm@sec.gov
Shirli Weiss	Kenneth L. Schroeder (Def.)	619/699-3650	Shirli.Weiss@dlapiper.com

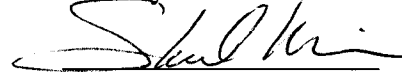
Civil Local Rule 16-8 and ADR Local Rule 3-5 require that lead trial counsel participate in a telephone conference with a member of the ADR Legal Staff before the Case Management Conference. The ADR Unit (adr@cand.uscourts.gov) will notify you of the date and time of your phone conference.

Dated: 11/2/2007


Mark P. Fickes

Attorney for Plaintiff

Dated: 11/6/07


Attorney for Defendant